AO 120 (Rev. 08/10)

TO:

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court of Arizona on the following **✓** Trademarks or ☐ Patents. (☐ the patent action involves 35 U.S.C. § 292.): DOCKET NO. U.S. DISTRICT COURT DATE FILED 2:13-cv-00431-NVW 2/28/2013 of Arizona **PLAINTIFF** DEFENDANT FENDER MUSICAL INSTRUMENTS CORPORATION KELTON SWADE, INDIVIDUALLY, AND D/B/A KELTON SWADE GUITARS PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 1 805075 3/8/1966 Fender Musical Instruments Corporation 2 805510 Fender Musical Instruments Corporation 3/15/1966 3 839997 12/5/1967 Fender Musical Instruments Corporation 4 871794 6/24/1969 Fender Musical Instruments Corporation 2/8/1977 5 1058385 Fender Musical Instruments Corporation See Attachment A In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY ☐ Amendment ☐ Answer Cross Bill ☐ Other Pleading PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 1,058,38% 2,163,733 2 1,256,824 3 2,011,032 4 1,148,869 1,143,870 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT CLERK Brian D. Karth